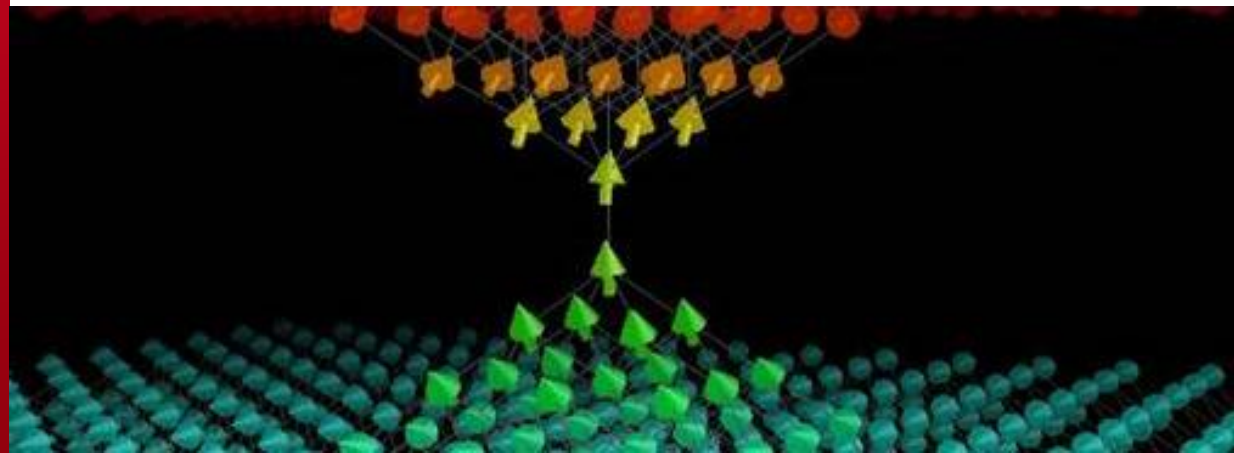


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MAGNETIC ANISOTROPY IN TIGHT-BINDING



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www.cea.fr

Magnetic Tight-Binding Workshop, London 10-11 Sept. 2012

TB Model

TB ₀ : Mehl & Papaconstantopoulos	P.04
TB _{LCN} : Local charge neutrality	P.06
TB _{mag} : Stoner model	P.07
Beyond Stoner model: Coulomb Interaction $U_{\lambda\mu\nu\eta}$	P.09
TB _{SO} : Spin Orbit Coupling	P.13

Determination of parameters

TB ₀ , TB _{LCN} , TB _{mag} , TB _{SO}	P.15
--	------

Applications

Fe from bulk to wire	P.20
FePt from bulk to clusters	P.27

TB MODEL

Non magnetic Hamiltonian

$$H_0 = \sum_{ij\lambda\mu} |i\lambda\rangle \langle i\lambda| H |j\mu\rangle \langle j\mu|$$

i, j : atoms
 λ, μ : orbitals



$\lambda = s$

p_x

p_y

p_z

d_{xy}

d_{xz}

d_{xz}

$d_{x^2-y^2}$

$d_{3z^2-r^2}$

Hopping integrals

overlap integrals

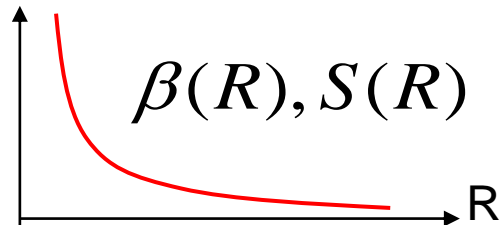
On-site elements

Two-center SK formulation

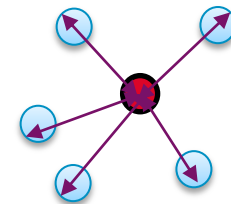
$$\beta_{i\lambda, j\mu} = \langle i\lambda | H | j\mu \rangle$$

$$S_{i\lambda, j\mu} = \langle i\lambda | j\mu \rangle$$

$$\varepsilon_{i\lambda} = \langle i\lambda | H | i\lambda \rangle$$



$$\varepsilon_{i\lambda} = f(\rho_i)$$



Analytical expressions

$$\beta(R), S(R) = (e + fR + \dots) \exp(-gR) F_C(R)$$

$$\varepsilon_{i\lambda} = a + b\rho_i^{2/3} + c\rho_i^{4/3} + d\rho_i^2 \quad \rho_i = \sum_{j \neq i} \exp(-\lambda R_{ij}) F_C(R_{ij})$$

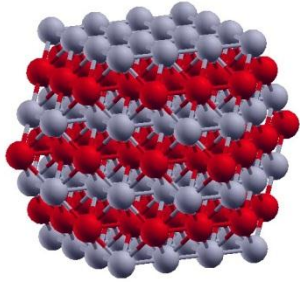
Approximately 70-80 parameters per element

Total energy: the MP trick

$$E_{tot} = \sum_{\alpha} f_{\alpha} \varepsilon_{\alpha}$$

The usual pair-potential « repulsive term » is hidden in the environment dependence of the on-site elements

Inhomogeneous systems



$$H_{LCN}^{i\lambda, i\lambda} = U_i(n_i - n_{i,0})$$

$$H_{LCN}^{i\lambda, j\mu} = \frac{1}{2} [U_i(n_i - n_{i,0}) + U_j(n_j - n_{j,0})] S_{i\lambda, j\mu}$$

Avoid charge transfers between unequivalent atoms

LCN = penalization on the local charge

$$E_{tot} = \sum_{\alpha occ} \sum_{i,j} c_i^\alpha c_j^\alpha H_{ij} + \frac{1}{2} \sum_i U_i (n_i - n_{i,0})^2$$

$$\text{Min } E_{tot} / \sum_i (c_i^\alpha)^2 = 1 \Rightarrow H = H_0 + H_{LCN}$$

Double counting

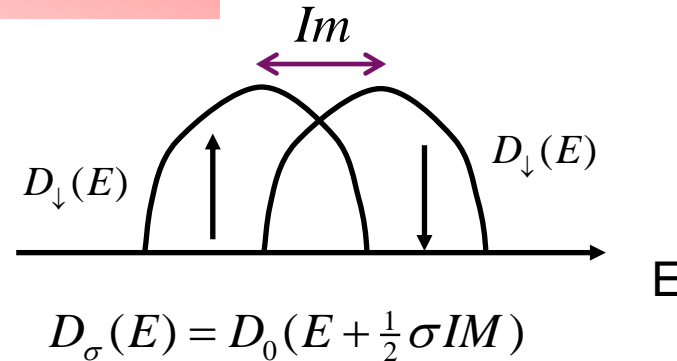
$$E_{tot} = \sum_\alpha f_\alpha \varepsilon_\alpha - \frac{1}{2} \sum_i U_i (n_i^2 - n_{i,0}^2)$$

Exchange splitting

$$H_{mag} = -\frac{1}{2} \sum_{i,\lambda} I_{i,\lambda} \vec{m}_{i\lambda} \cdot \vec{\sigma}$$

$$\varepsilon_{i,\lambda,\sigma} \rightarrow \varepsilon_{i,\lambda,0} - \frac{1}{2} I_{i,\lambda} m_{i,\lambda} \sigma_{\pm 1}$$

$$I_s = I_p = \frac{1}{10} I_d$$



Stoner criterion

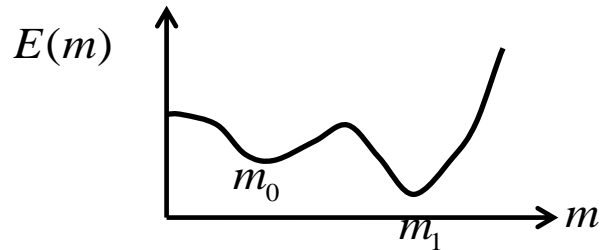
Onset of magnetism when: $ID_0(E_F) \geq 1$

Double counting

$$E_{tot} = \sum_{\alpha_{occ}} \varepsilon_{\alpha} + \frac{1}{4} \sum_{i,\lambda} I_i m_{i,\lambda}^2 = \int^{E_F} ED(E)dE + \frac{1}{4} \sum_{i,\lambda} I_i m_{i,\lambda}^2$$

Fixed spin moment calculation

$$E_{tot} = \int^{E_F^\uparrow} ED_\downarrow(E)dE + \int^{E_F^\downarrow} ED_\downarrow(E)dE + \frac{1}{4} Im^2 \quad D_\sigma(E) = D_0(E + \frac{1}{2} \sigma IM)$$



$$E_{tot}(M) = \int^{E_F^+} ED_0(E)dE + \int^{E_F^-} ED_0(E)dE - \frac{1}{4} Im^2$$

$$M = \int^{E_F^+} ED_0(E)dE - \int^{E_F^-} ED_0(E)dE$$

$$N = \int^{E_F^+} ED_0(E)dE + \int^{E_F^-} ED_0(E)dE$$

$$E_F^+ = E_F^\uparrow + \frac{1}{2} Im$$

$$E_F^- = E_F^\uparrow - \frac{1}{2} Im$$

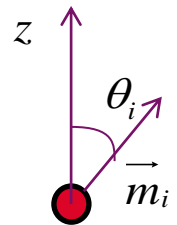
Penalization of local magnetization

$$E_{tot} = \sum_{\alpha occ} \sum_{i,j} c_i^\alpha c_j^\alpha H_{ij} + E_{pen}$$

$$E_{pen} = \sum_i \lambda_i (m_i - m_{i,0})^2$$

$$E_{pen} = \sum_i \lambda_i (\cos \theta_i - \cos \theta_{i,0})^2$$

...



$$\text{Min } E_{tot} / \sum_i (c_i^\alpha)^2 = 1 \Rightarrow H = H_0 + H_{pen}$$

BEYOND STONER MODEL= TB+U

$$H = H_0 + H_{\text{int}}$$

$$H_{\text{int}} = \frac{1}{2} \sum_{i\alpha_1\alpha_2\alpha_3\alpha_4} U_{i\alpha_1\alpha_2\alpha_3\alpha_4} c_{i\alpha_1\sigma}^+ c_{i\alpha_2\sigma'}^+ c_{i\alpha_4\sigma} c_{i\alpha_3\sigma'} \quad \alpha_n = d(f)\text{orbitals}$$

i : atomic site

Hartree Fock decoupling (mean field)

$$H_{\text{int}}^{\text{HF}} = \frac{1}{2} \sum_{i\alpha_1\alpha_2\alpha_3\alpha_4} \left(U_{i\alpha_4\alpha_2\alpha_3\alpha_1} \langle c_{i\alpha_4\sigma}^+ c_{i\alpha_3\sigma} \rangle c_{i\alpha_2\sigma'}^+ c_{i\alpha_1\sigma} - U_{i\alpha_4\alpha_2\alpha_1\alpha_3} \langle c_{i\alpha_4\sigma}^+ c_{i\alpha_3\sigma} \rangle c_{i\alpha_2\sigma'}^+ c_{i\alpha_1\sigma} \right)$$

$$U_{m_1 m_2 m_3 m_4} = \int_{-\infty}^{+\infty} d^3 r \int_{-\infty}^{+\infty} d^3 r' \varphi_{i m_1 \sigma}^*(\vec{r}) \varphi_{i m_2 \sigma'}^*(\vec{r}') \frac{e^2}{|\vec{r} - \vec{r}'|} \varphi_{i m_3 \sigma}(\vec{r}) \varphi_{i m_4 \sigma'}(\vec{r}')$$

$$U_{\lambda_1 \lambda_2 \lambda_3 \lambda_4} = \int_{-\infty}^{+\infty} d^3 r \int_{-\infty}^{+\infty} d^3 r' \varphi_{i \lambda_1 \sigma}(\vec{r}) \varphi_{i \lambda_2 \sigma'}(\vec{r}') \frac{e^2}{|\vec{r} - \vec{r}'|} \varphi_{i \lambda_3 \sigma}(\vec{r}) \varphi_{i \lambda_4 \sigma'}(\vec{r}')$$

$$U_{\alpha_1\alpha_2\alpha_3\alpha_4} = \text{linear combination}(A, B, C)$$

Racah parameters:

$$(F_0, F_2, F_4)$$

Slater integrals

New set of parameters

$(A, B, C) \Rightarrow (U, J, B)$

real orbitals

$$U = \frac{1}{4} \sum_{\mu, \mu \neq \lambda} U_{\lambda\mu\lambda\mu} = A - B + C = F^0 - \frac{1}{49}(F^2 + F^4)$$

$$J = \frac{1}{4} \sum_{\mu, \mu \neq \lambda} U_{\lambda\mu\mu\lambda} = \frac{5}{2}B + C = \frac{5}{98}(F^2 + F^4)$$

spherical harmonics (Anisimov)

$$U_A = \frac{1}{25} \sum_{mm'} U_{mm'} = A + \frac{7}{5}C = F^0$$

$$U_A - J_A = \frac{1}{20} \sum_{\substack{mm' \\ m \neq m'}} (U_{mm'} - J_{mm'})$$

$$J_A = \frac{7}{2}B + \frac{7}{5}C = \frac{1}{14}(F^2 + F^4)$$

$$\left\{ \begin{array}{l} U_A = U + \frac{2J}{5} \\ J_A = \frac{7}{5}J \end{array} \right. \Leftrightarrow \left\{ \begin{array}{l} U = U_A - \frac{2J_A}{7} \\ J = \frac{5}{7}J_A \end{array} \right.$$

From HF to Stoner

$$n_{i,\lambda\sigma,\mu\sigma'} = \bar{n}_{i,\sigma} \delta_{\lambda\sigma,\mu\sigma'} \quad \bar{n}_{i,\sigma} = \frac{1}{5} \sum_{\lambda} n_{i,\lambda,\sigma}$$

$$H_{\text{int}} \rightarrow H = \sum_{i\lambda\sigma} (U_{\text{eff}} n_{i\lambda\sigma} - \frac{1}{2} I_{dd} m_{i\lambda\sigma}) c_{i\lambda\sigma}^{\dagger} c_{i\lambda\sigma}$$

$$U_{\text{eff}} = (9U - 2J) / 5$$

$$I_{dd} = (U + 6J) / 5$$

I_{dd}

Controls the spin-moment

$U - J, B$

Controls the orbital-moment and anisotropy

What about TB+V

$$H_{\text{int}} = \frac{1}{2} \sum_{\substack{ij, \lambda\sigma, \mu\sigma' \\ i \neq j}} V_{ij} c_{i\lambda\sigma}^+ c_{j\mu\sigma'}^+ c_{j\mu\sigma} c_{i\lambda\sigma}$$

$$V_{ij}^{\lambda\mu} = \langle \phi_{i\lambda}(\mathbf{r}) \phi_{j\mu}(\mathbf{r}') \left| \frac{1}{|\mathbf{r} - \mathbf{r}'|} \right| \langle \phi_{i\lambda}(\mathbf{r}) \phi_{j\mu}(\mathbf{r}') \rangle = V_{ij} = V_0 \frac{R_0}{R_{ij}}$$

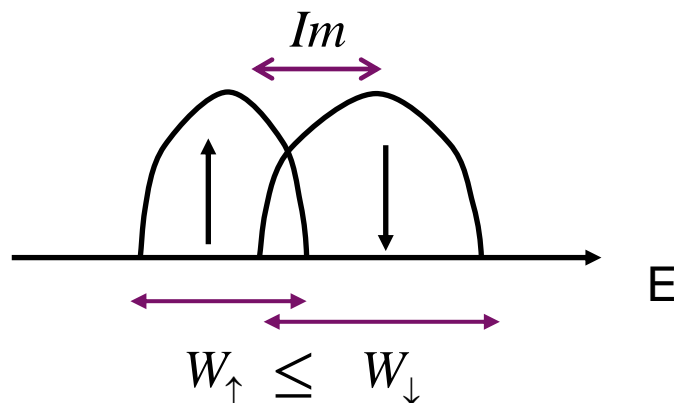
Hartree Fock decoupling (mean field)

On-site renormalization

$$\mathcal{E}_{i\lambda\sigma} = \mathcal{E}_{i\lambda\sigma} + \sum_j V_{ij} \langle n_j \rangle$$

Spin dependent hopping integrals

$$\beta_{i\lambda, j\mu}^\sigma = \beta_{i\lambda, j\mu} + V_{ij} \langle c_{j\mu\sigma}^\dagger c_{i\lambda\sigma} \rangle$$

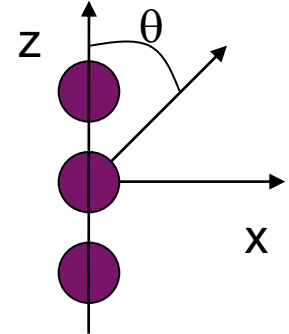


SPIN-ORBIT COUPLING

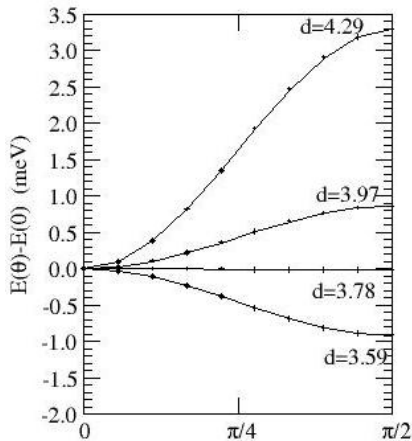
$$H_{\text{SOC}} = \sum_i \xi_i(r) \vec{L}_i \cdot \vec{S}_i$$

We keep d orbitals only

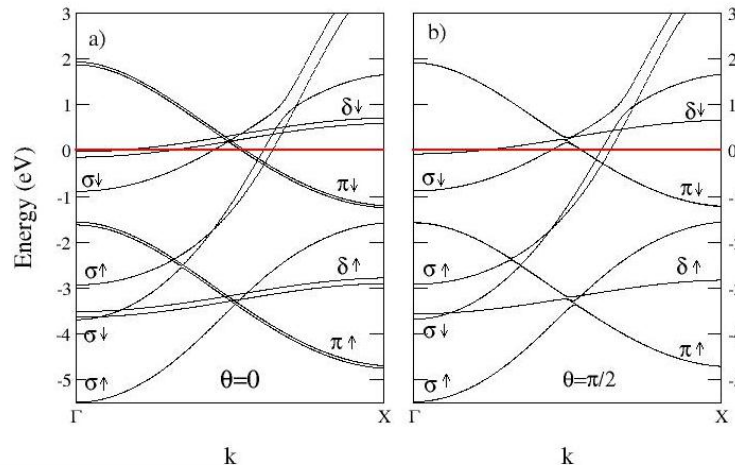
$$\xi_{i,d} = \int R_{i,d}^2(r) r^2 dr$$



Magnetic anisotropy



Band structure anisotropy



Orbital moment

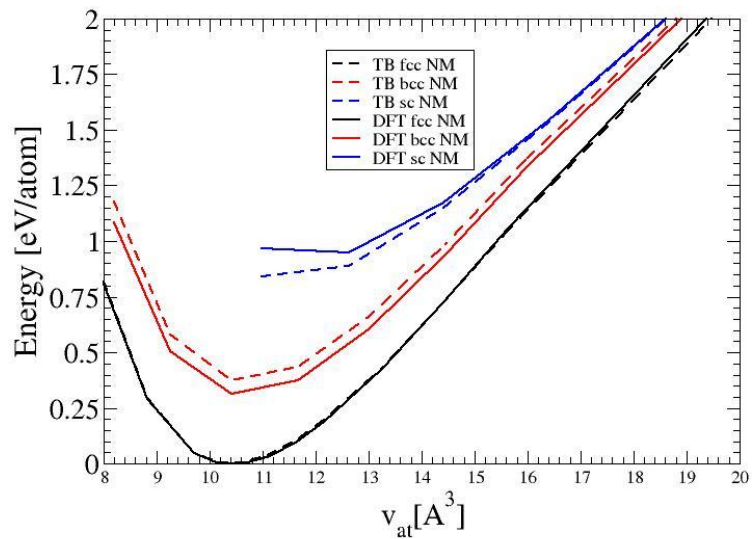
$$M_L \sim 0.1 \mu_B \text{ (bulk)}$$

$$M_L \sim 0.5 - 1 \mu_B \text{ (wire)}$$

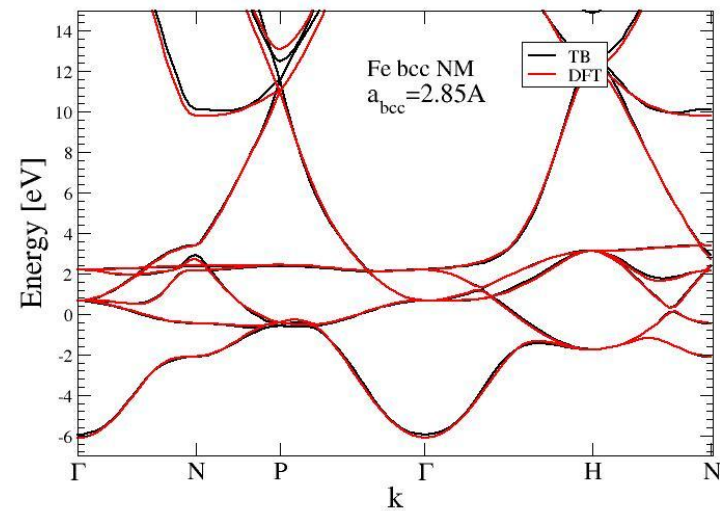
TB PARAMETERS

Fit on ab-initio band structures and total energy of non-magnetic bulk systems

Total energy

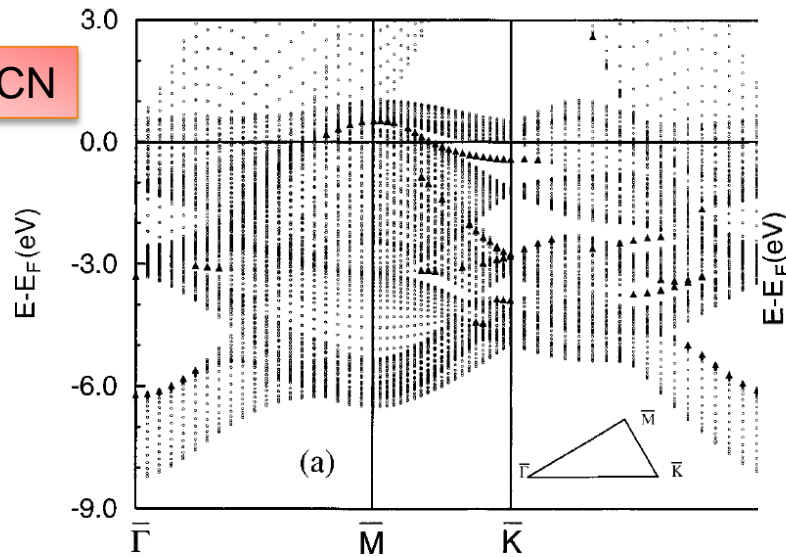


Band structure

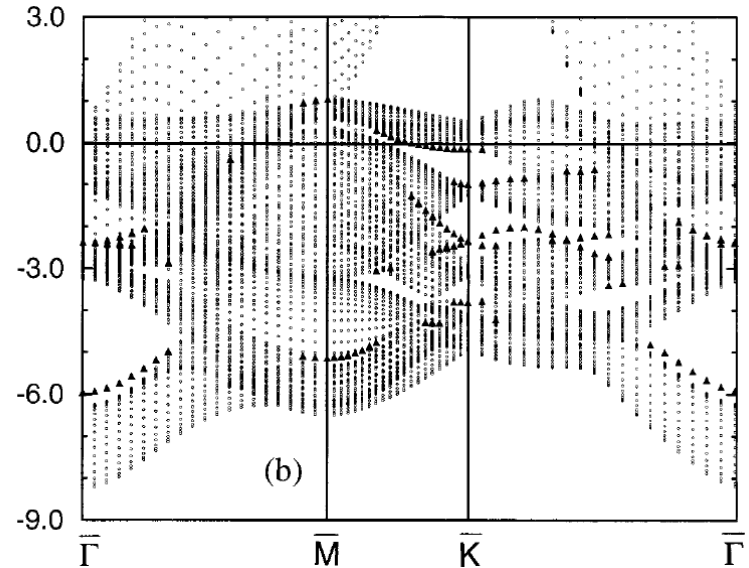


Influence of local charge neutrality on surface band structure

No LCN



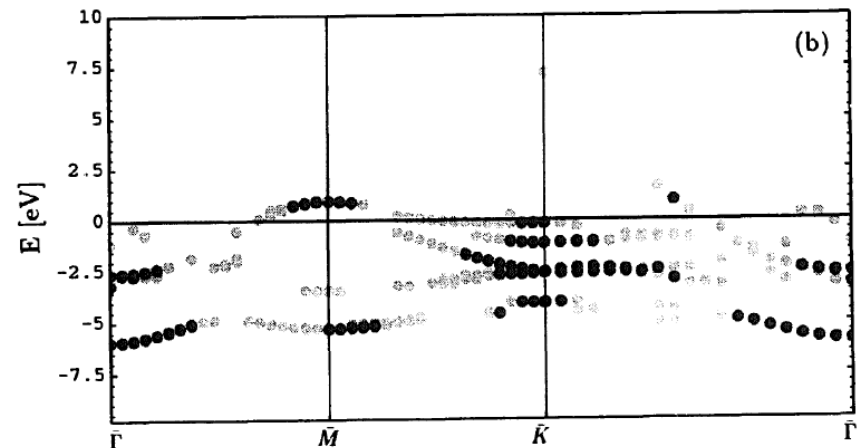
With LCN



Rh(111) surface

Surf. Sci. **346**, 300 (1996)

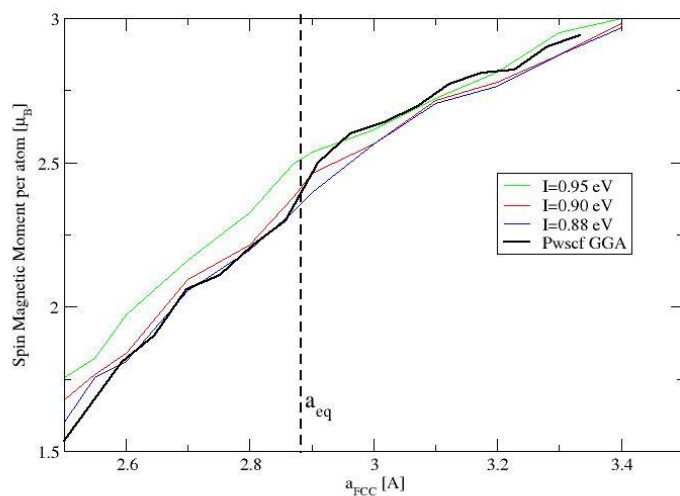
PRB **58**, 9721 (1998)



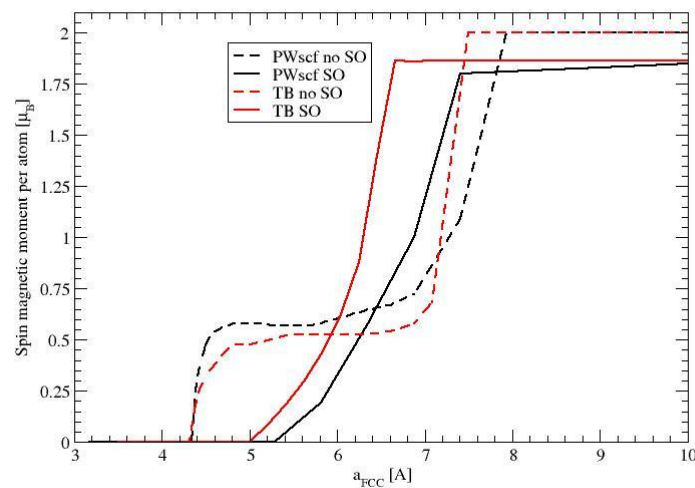
Determination of the Stoner parameter

On-set of magnetism with lattice parameter expansion

$$I_{Fe} \in [0.88, 0.95] eV$$



$$I_{Pt} = 0.60 eV$$



What about U, J, B parameters

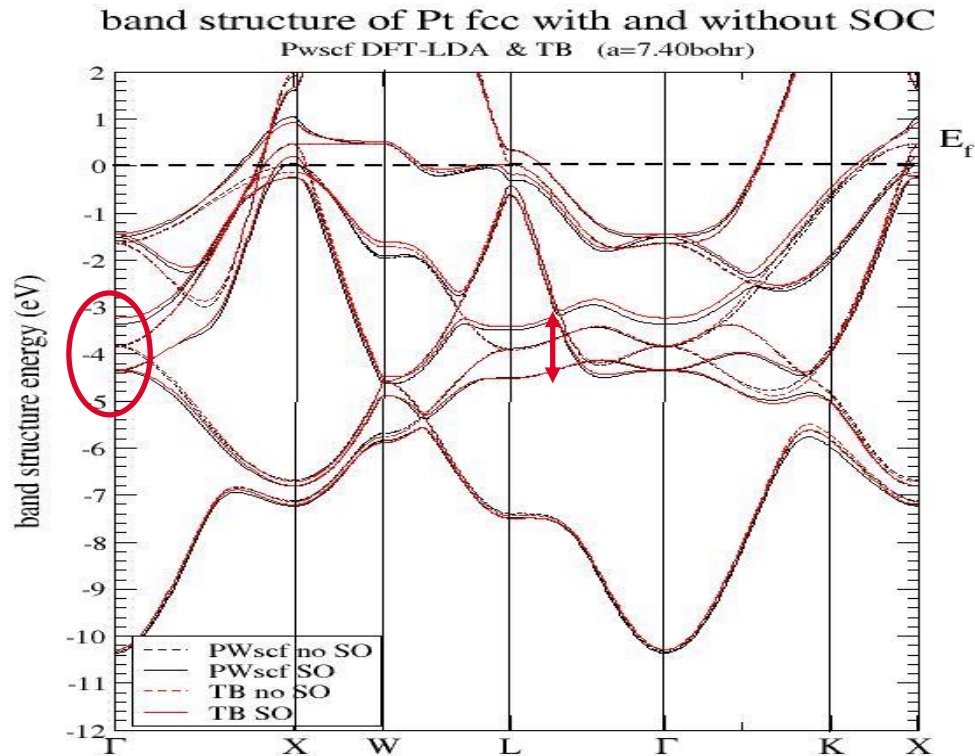
$$I = (U + 6J) / 5$$

$$U / J$$

$$B / J = 0.14 \Rightarrow B \approx 0.1 eV$$

Determination of the Spin-Orbit Coupling constant

Non-magnetic band structure



$$\xi_{Fe} = 0.06 eV$$

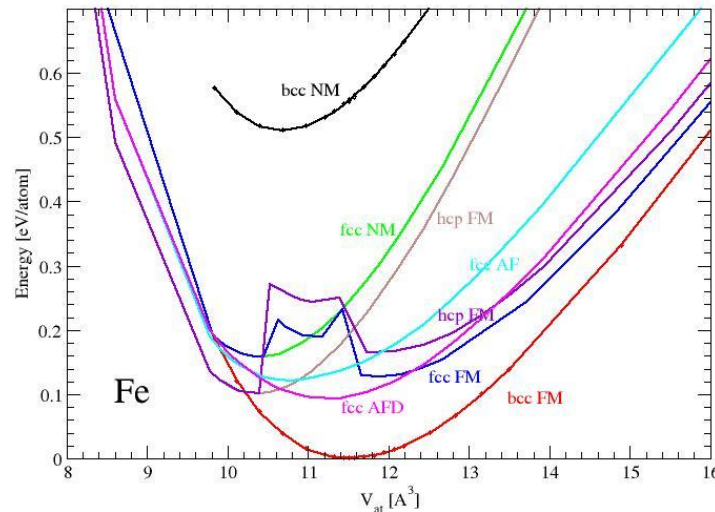
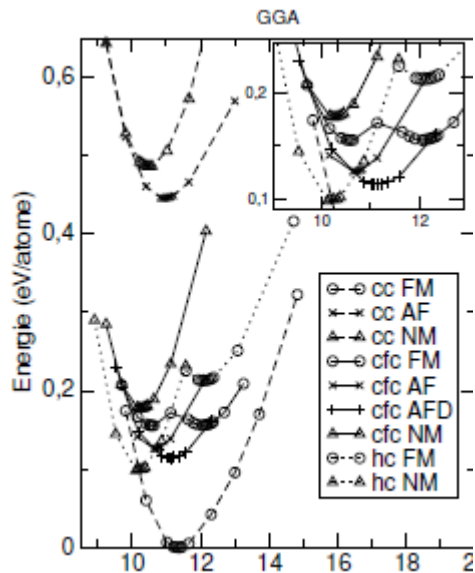
$$\xi_{Pt} \in [0, 45, 0.55] eV$$

Absolutely not « structure » dependent
(same SOC parameter for fcc, bcc, or wire)

APPLICATIONS

BULK IRON

Phase stability



$$I_{Fe} = 0.95eV$$

① Fe bcc stabilized by magnetism

For $I_{Fe} = 0.88eV$ hcp is the ground state.

② Complex magnetic structure of Fe fcc

LS and HS, AF, spin spiral

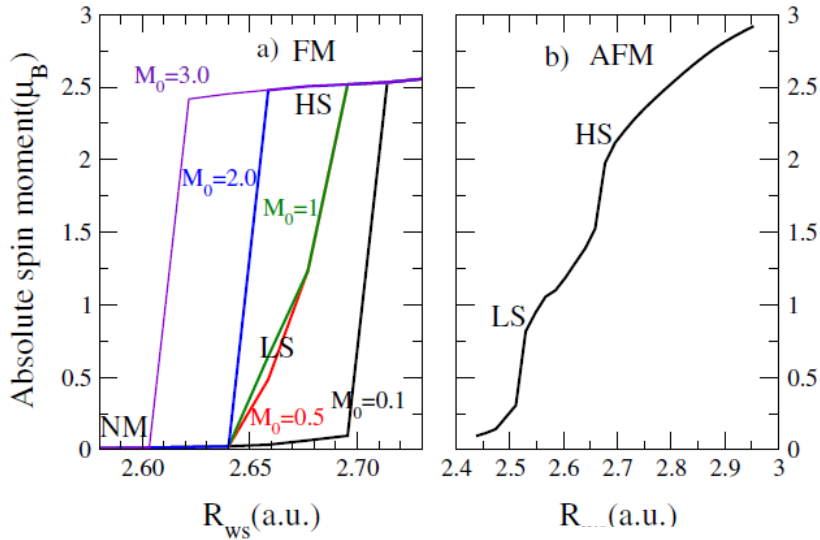
J. Phys.: Cond. Matter **18**, 6785 (2006)

J. Phys.: Cond. Matter **22**, 295502(2010)

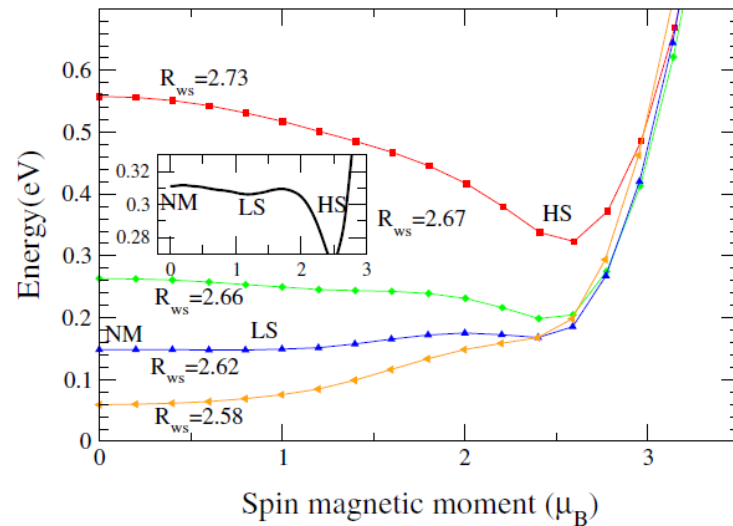
BULK IRON

Complex magnetic structure of Fe fcc

$M(d)$



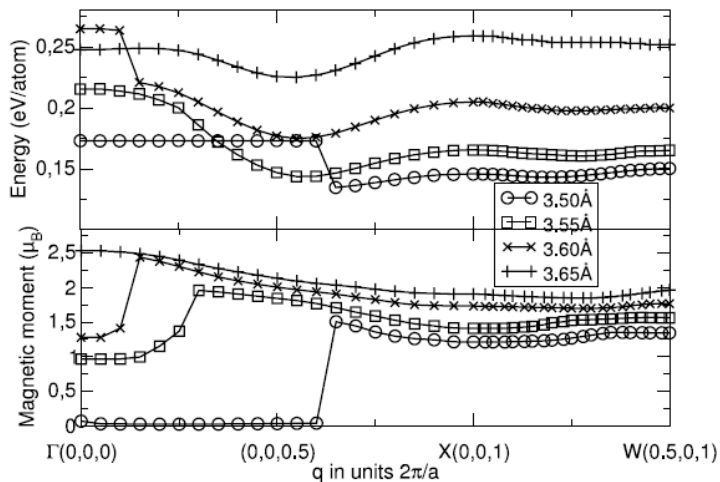
$E(M)$



Spin spiral

$E(q)$

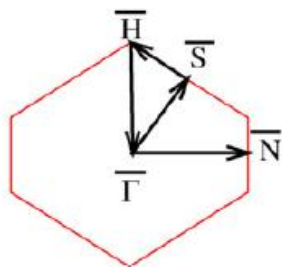
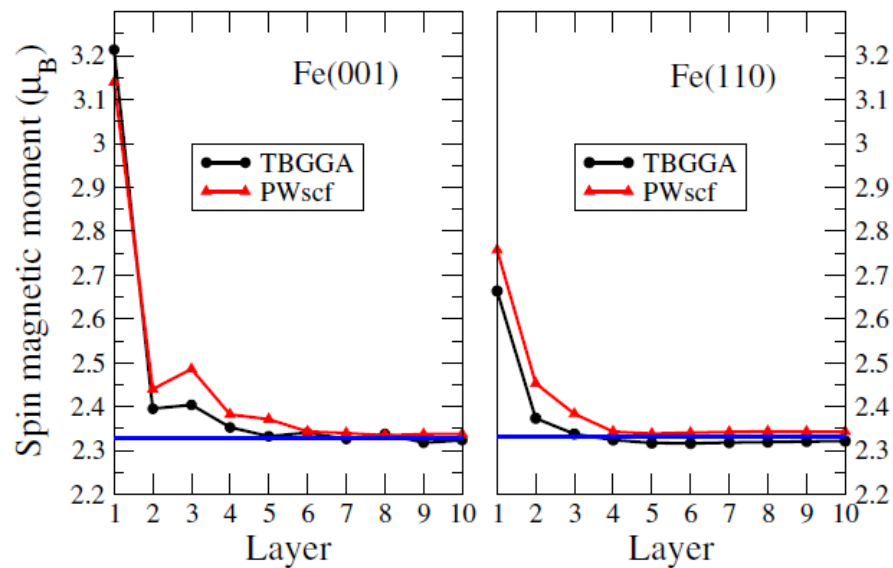
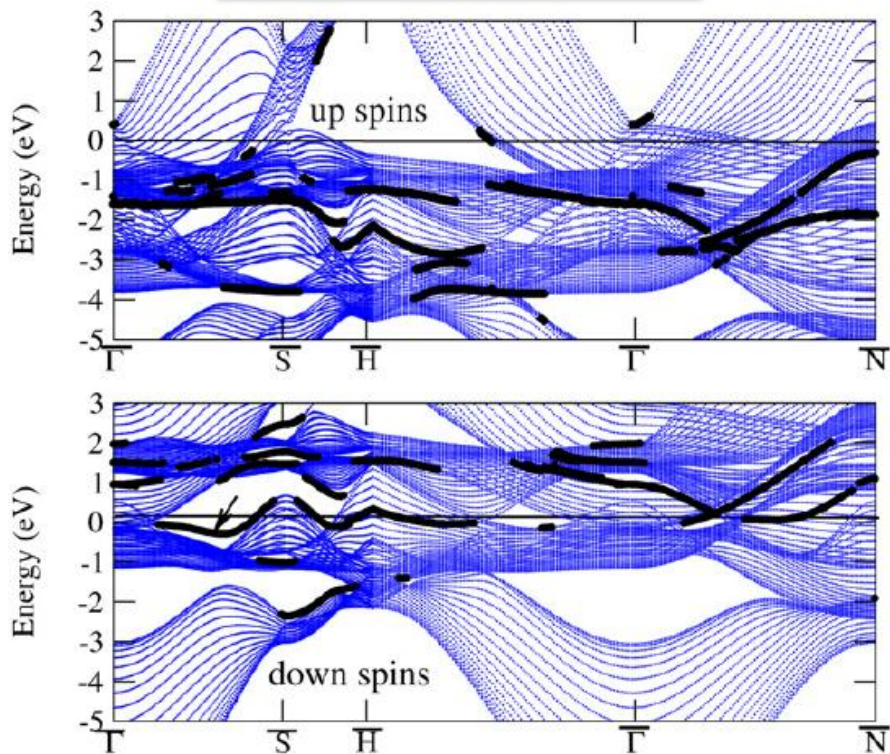
$M(q)$



IRON SURFACE

(110) Band structure

$E(l)$

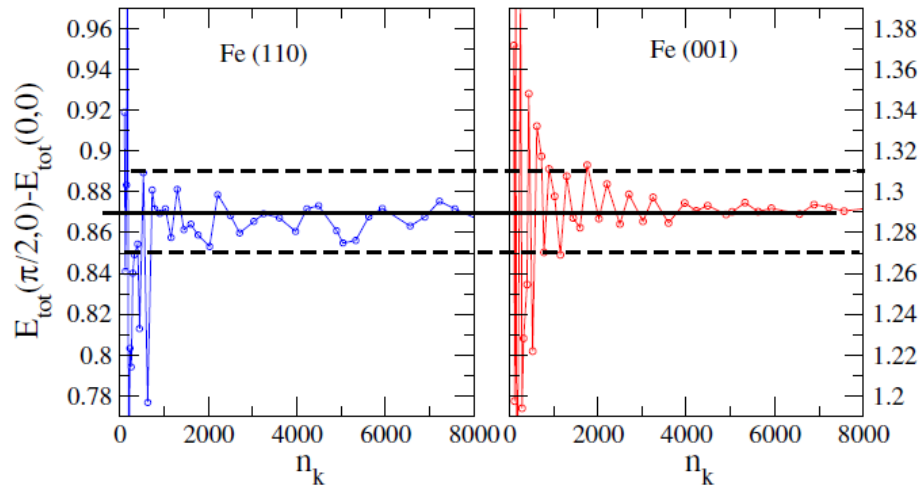


IRON SURFACE

Magnetic anisotropy energy (MAE)

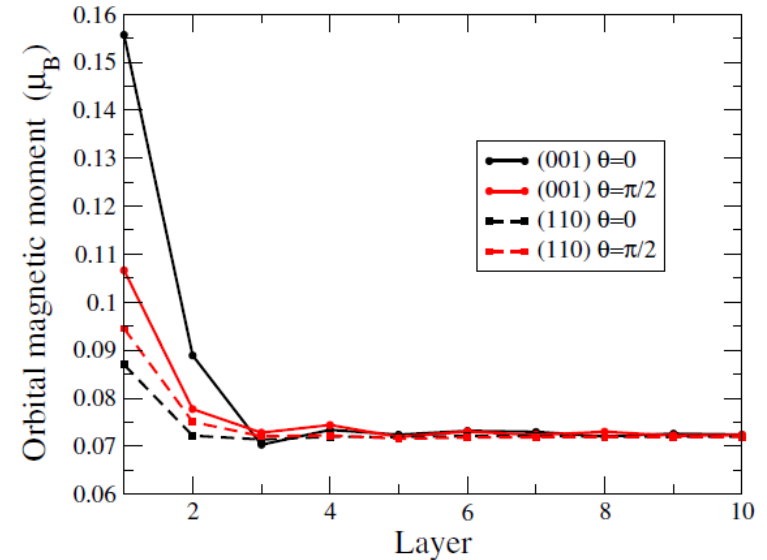
MAE Convergence / k points

monolayers



Easy axis: \perp surface

Orbital moment



- ➊ Enhancement of anisotropy
- ➋ De-quenching of orbital moment

Bruno's formula

$$\Delta E(\theta, \varphi) = -\frac{\xi}{4} \Delta L(\theta, \varphi)$$

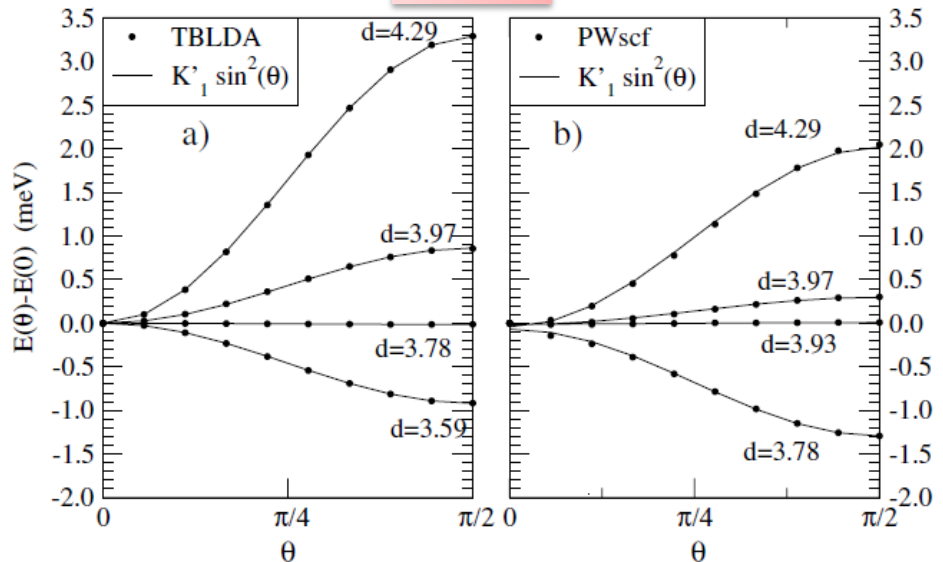
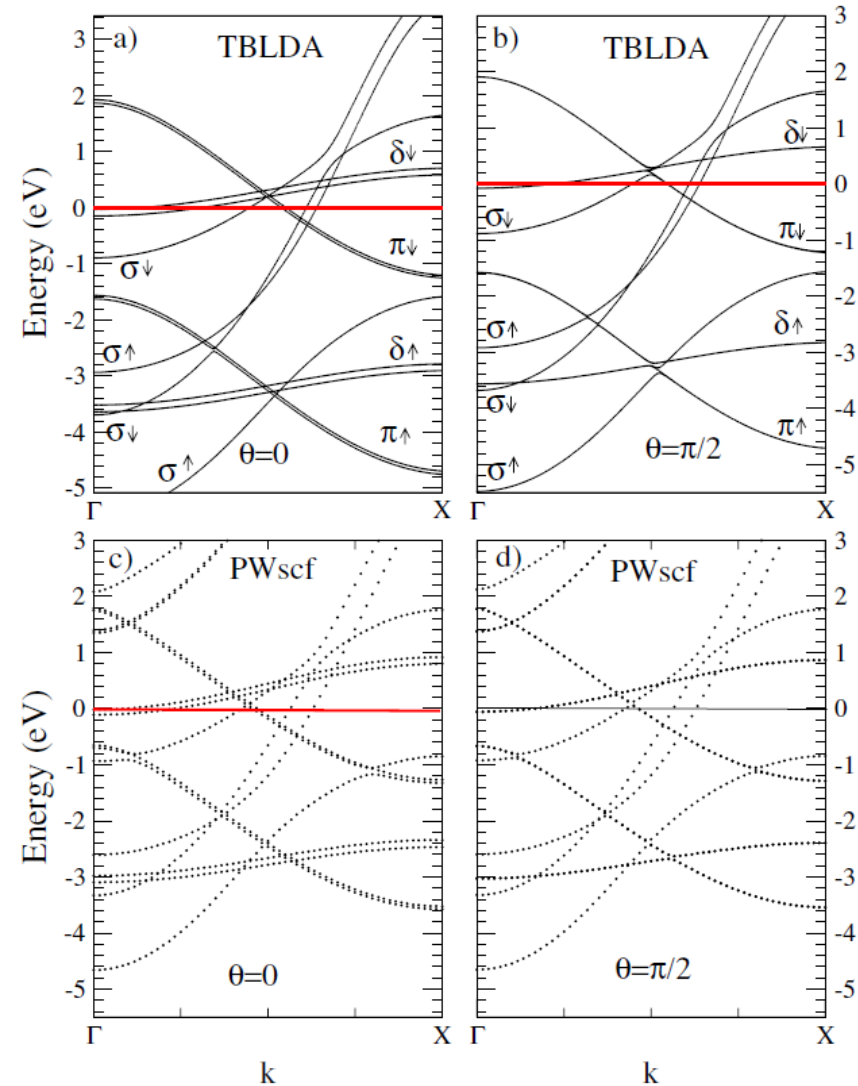
Works well for saturated systems

IRON WIRE

Magnetic anisotropy energy (MAE)

Band structure

MAE



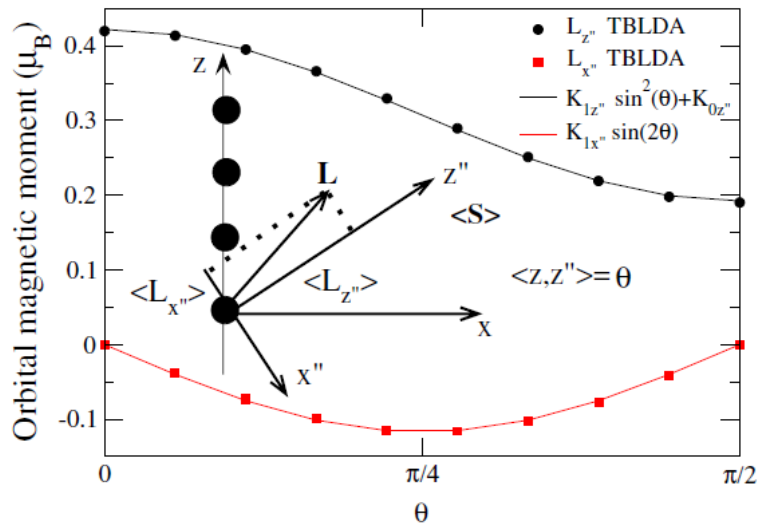
❶ Band structure anisotropy (origin of AMR)

❷ Easy axis reversal $\perp \rightarrow \parallel$

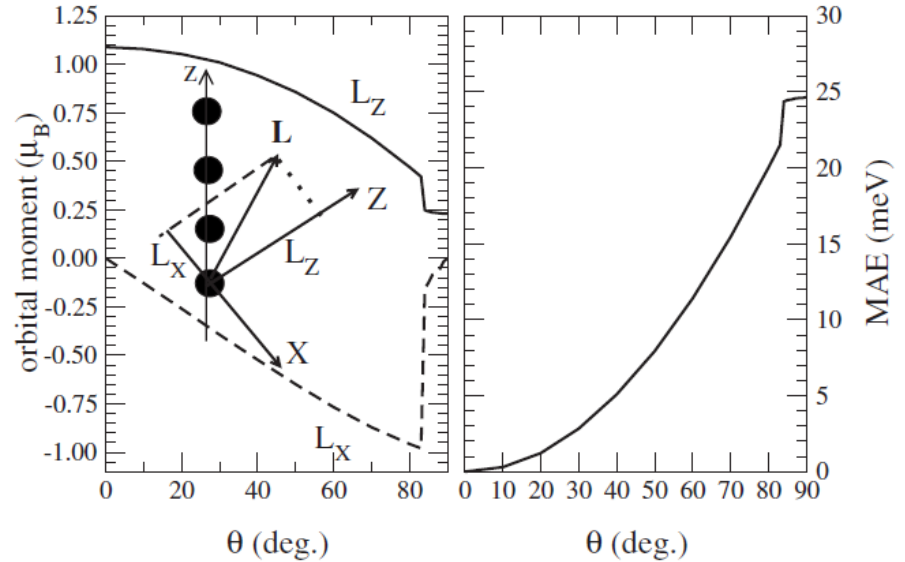
IRON WIRE

Beyond Stoner: Orbital Polarization

Stoner



TB+(U,J,B)

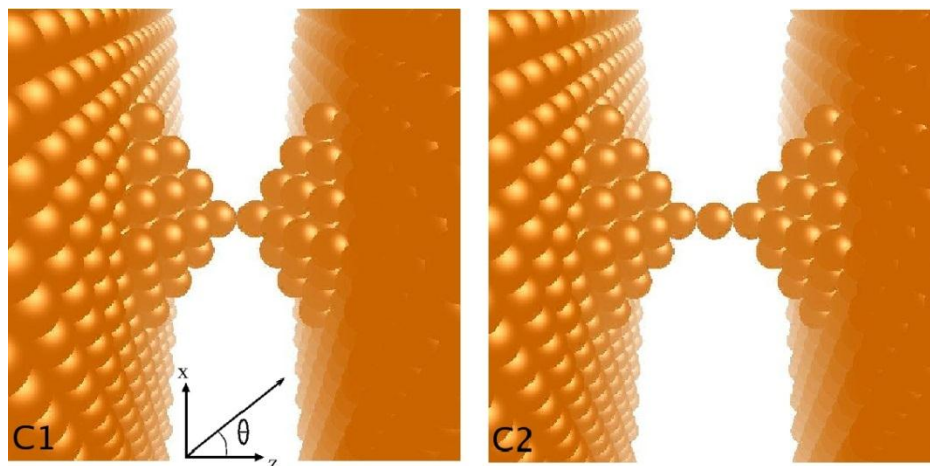


Is the Orbital Polarization ansatz verified?

$$H_{\text{TB}} + (U, J, B) \approx H_{\text{TB}} - B \langle L_z \rangle L_z$$

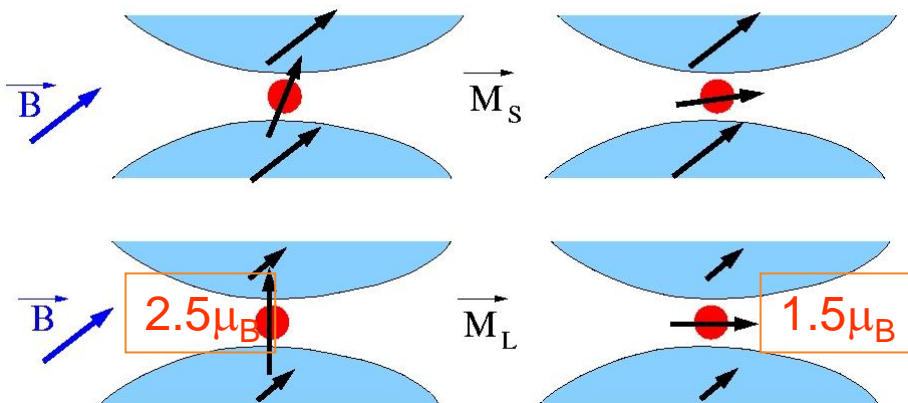
?

IRON ATOMIC CONTACT GAMR

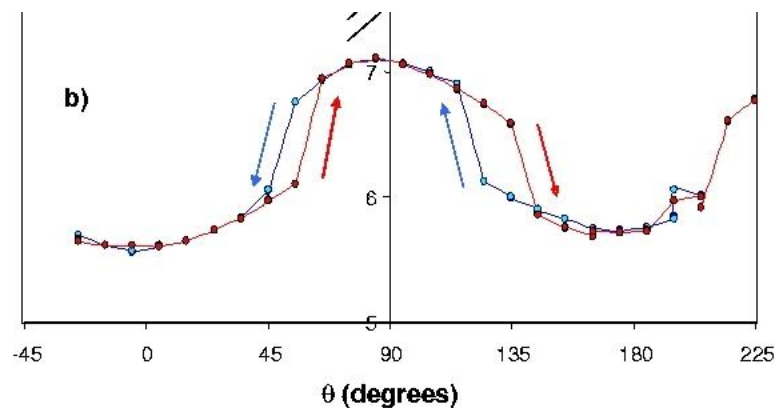


S1

S2

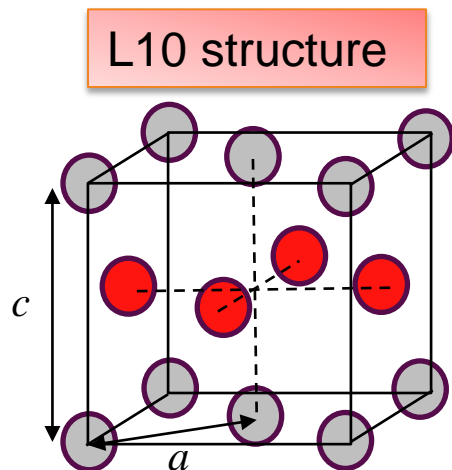


2 magnetic solutions



hysteresis

BULK FePt



$$a_{\text{exp}} = \frac{3.86}{\sqrt{2}} = 2.73 \text{ \AA}$$

$$c_{\text{exp}} = 3.72 \text{ \AA}$$

$$\frac{c_{\text{exp}}}{a_{\text{exp}}} = 1.36$$

$$V_{\text{exp}} = 27.7 \text{ \AA}^3$$

Very high magnetic uniaxial anisotropy

MAE=1.4meV/fu (exp.)

TB model

$$H_{LCN} = \sum_{i\lambda} U_{LCN} (n_i - n_i^0) |i\lambda\rangle \langle i\lambda| + \sum_{i\lambda \neq d} U_d (n_{i,d} - n_{i,d}^0) |i\lambda\rangle \langle i\lambda|$$

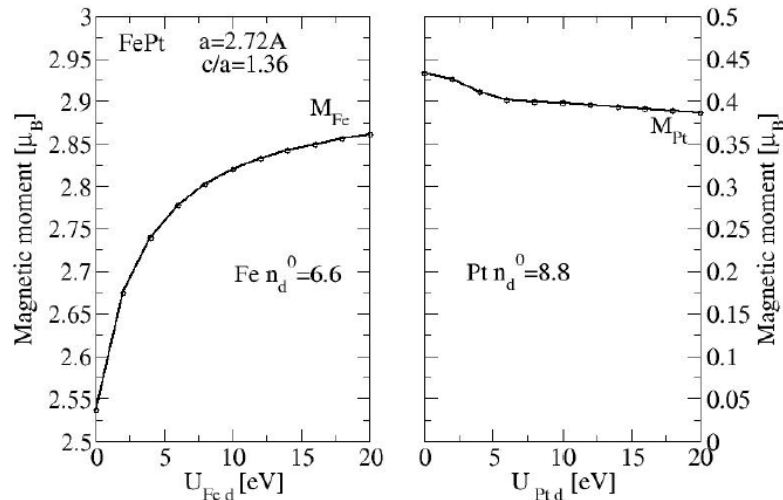
↑
Charge neutrality

↑
“d” orbital filling

$$U = U_d = 20eV$$

$n_{i,d}^0$ adjusted to reproduce electronic and magnetic properties of FePt L10

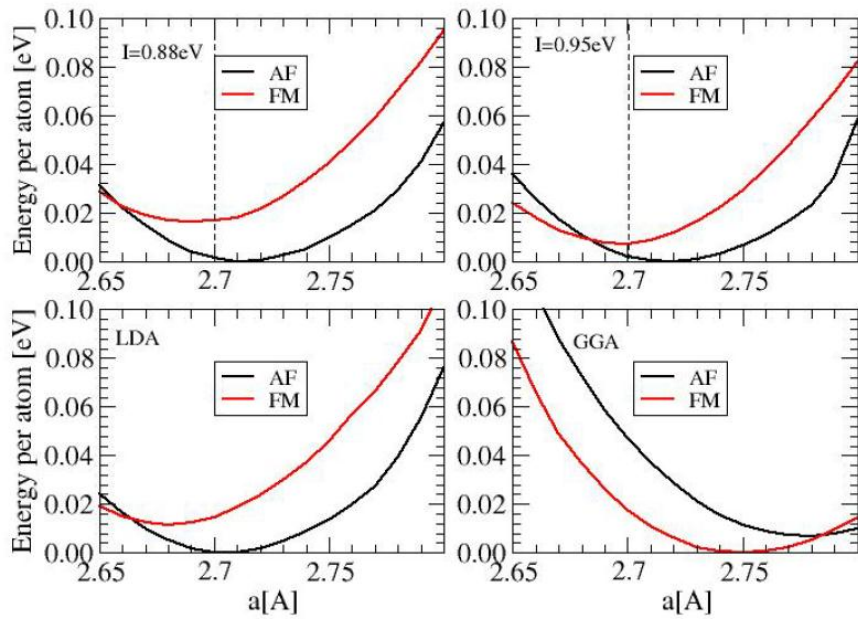
$$n_{Fe,d}^0 = 6.6 \quad n_{Pt,d}^0 = 8.8 \quad \Rightarrow \quad M_{Fe} \sim 3\mu_B \quad M_{Pt} \sim 0.35\mu_B$$



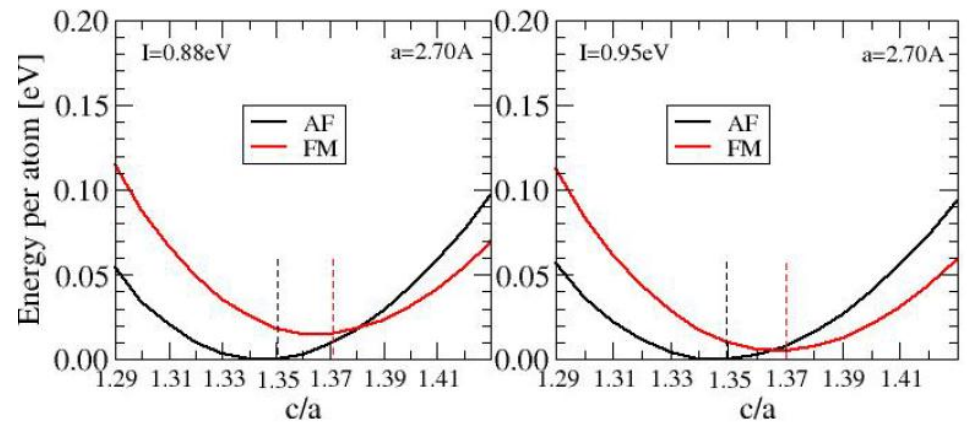
BULK FePt

Phase stability

Functional effect



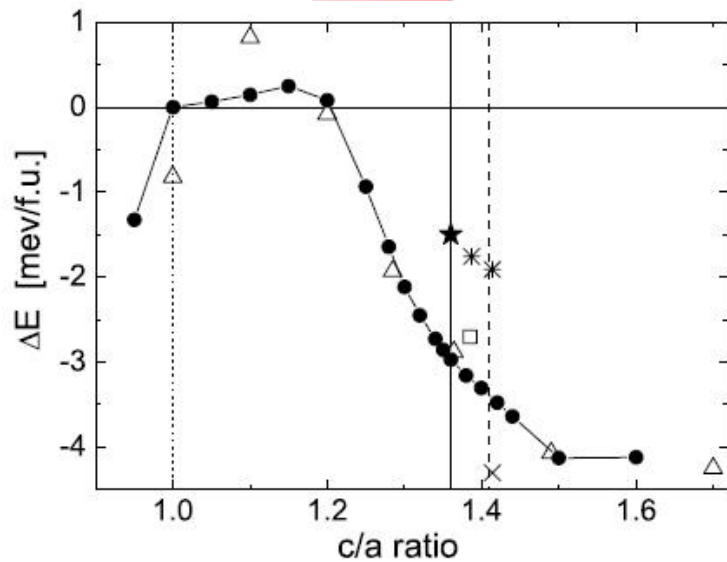
Structural effect



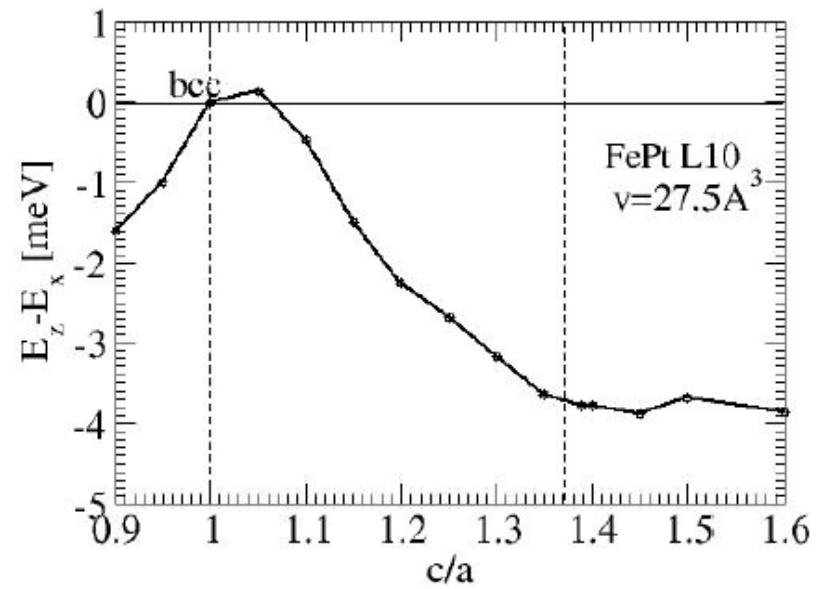
BULK FePt

MAE (c/a)

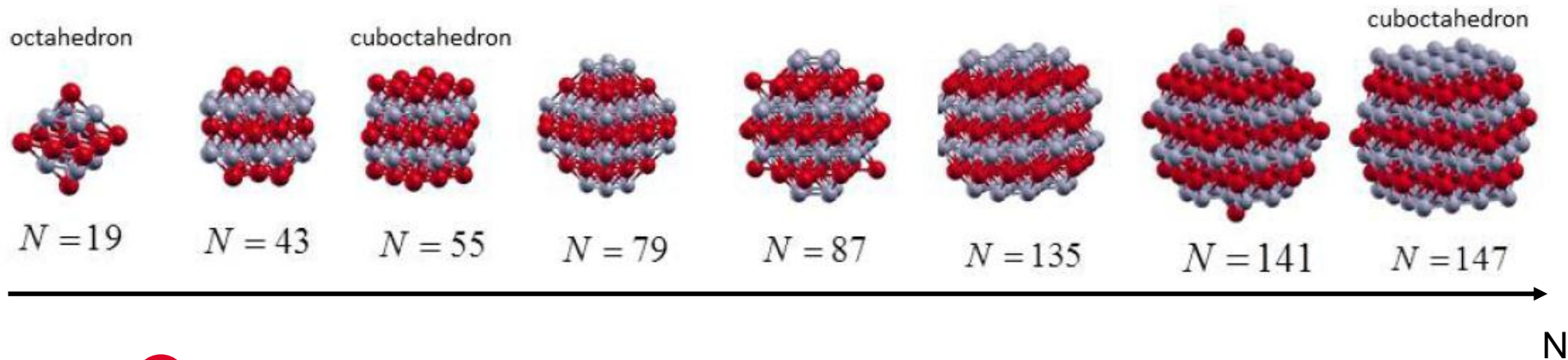
DFT



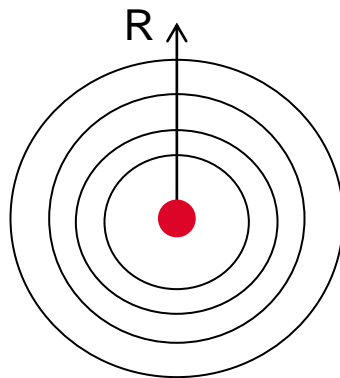
TB



Clusters



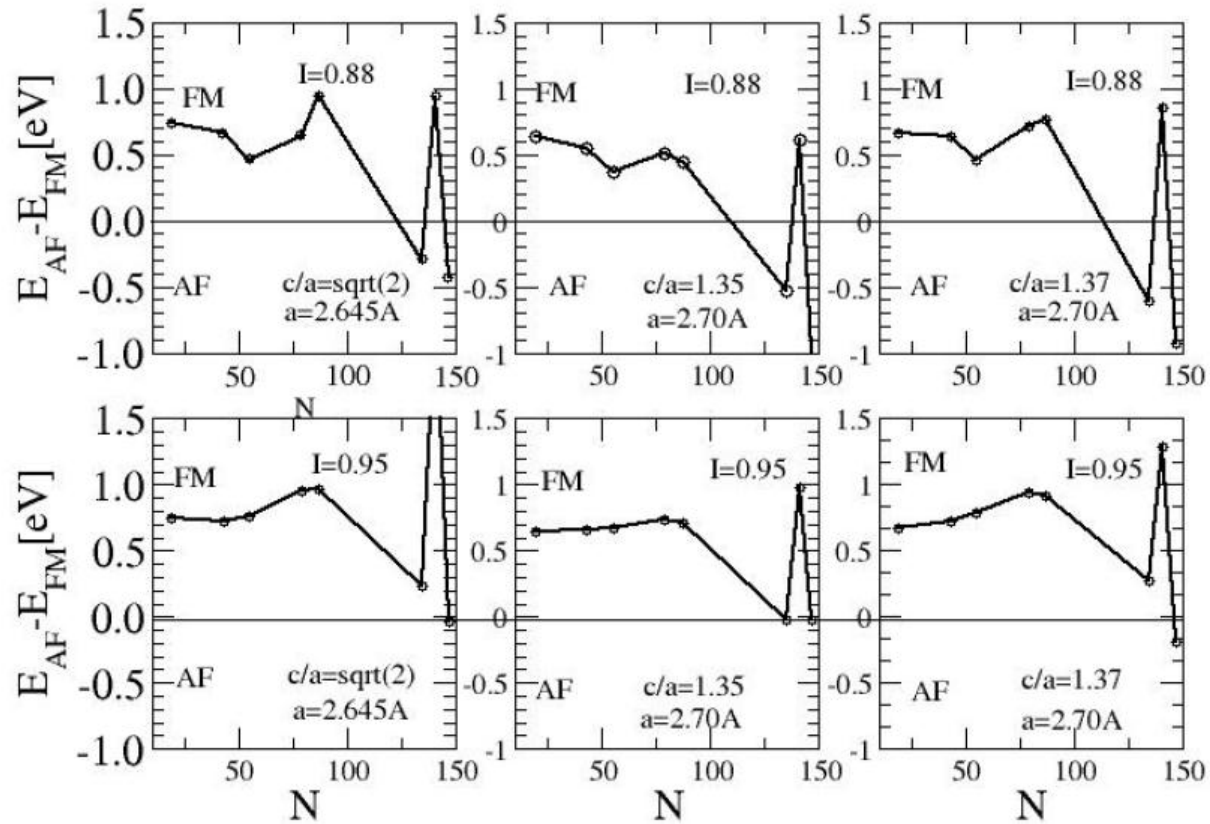
Fe ●
Pt ●



Concentric spheres

Clusters

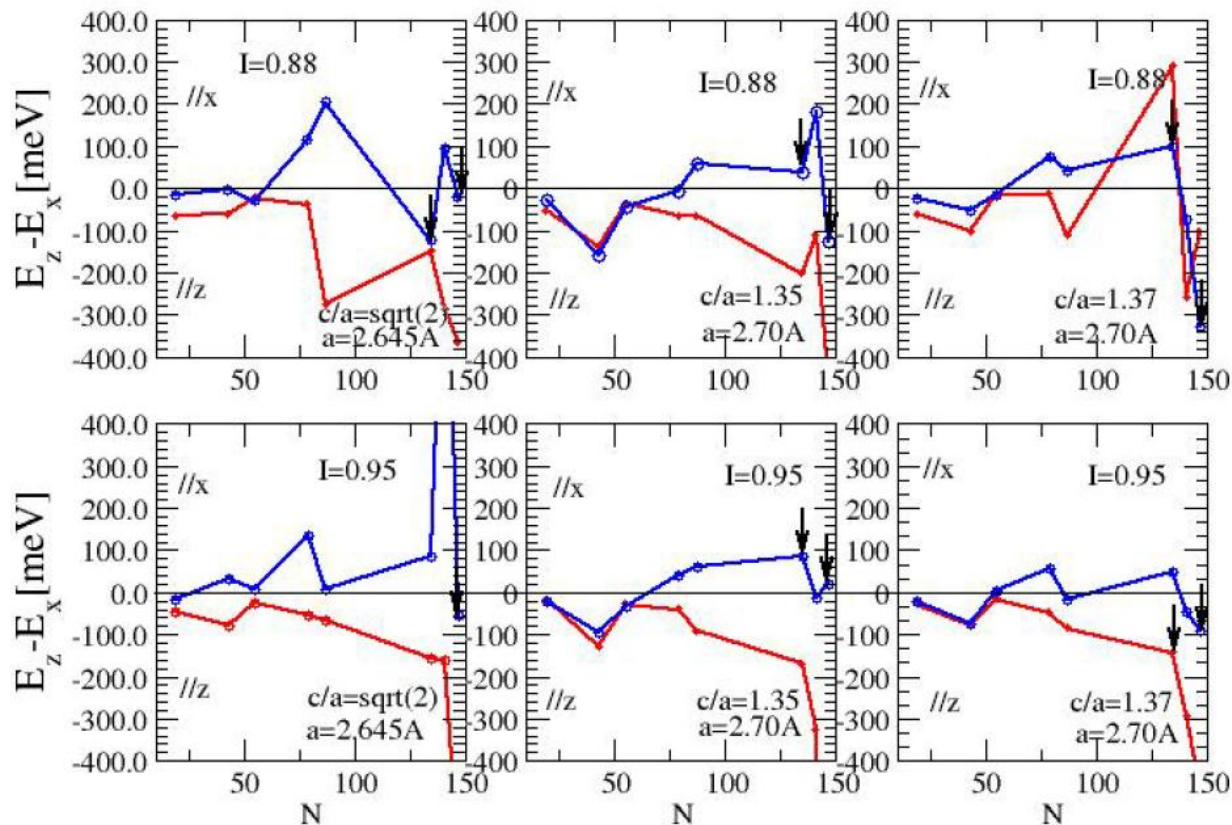
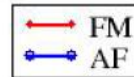
FM vs AFM



- ➊ Larger Stoner parameters favors FM
- ➋ Pt surfaces favors AFM (Fe favors FM)

Clusters

MAE

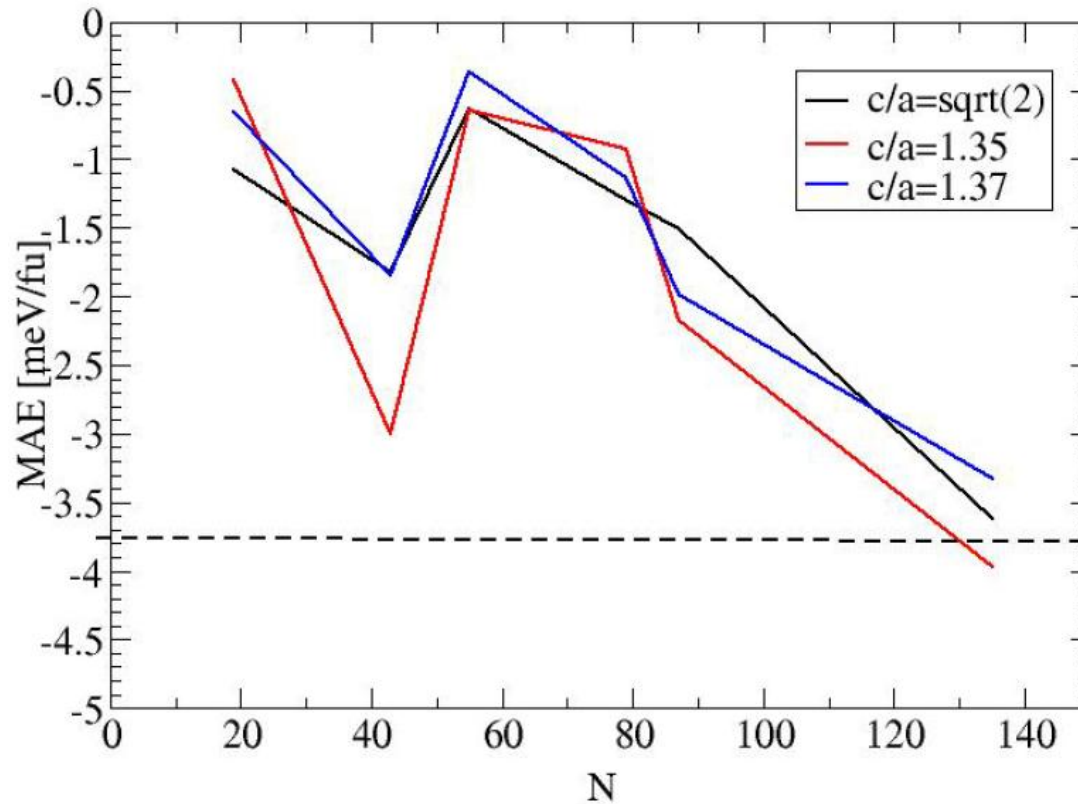


- ① FM favors uniaxial anisotropy
- ② AFM favors in-plane anisotropy

Clusters

MAE(N)

(per formula unit)



❶ MAE of clusters is below the bulk value

CONCLUSION

advantages

- ① TB is an efficient and versatile method
- ② TB is useful to obtain trends by tuning parameters
- ③ Magnetism is well described by simple Stoner models
- ④ SOC is easily described in a TB scheme
- ⑤ Local basis are well suited to electronic transport formalism

disadvantages

- ① Often (very) painful to determine parameters
- ② It should be handled with care: always check its transferability
- ③ MAE is a subtle quantity ...

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Spin polarizedTransport

THANK YOU FOR YOUR ATTENTION

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